

FORM PTO-1390 (Modified)
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

D-3173

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/511790

PRIORITY DATE CLAIMED

19 April 2002

INTERNATIONAL APPLICATION NO.
PCT/GB03/01657INTERNATIONAL FILING DATE
17 April 2003

TITLE OF INVENTION

ALGINATE OR LOW-METHOXY PECTATE GEL

APPLICANT(S) FOR DO/EO/US

KELLY, Thomas Reid

BARWICK, Bryan Edwin

SAWANT, Vijay Arjun

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV464416320US
23. ☒ Other items or information:

APPLICATION DATA SHEET

10/511790

DT05 PCT/PTO 14 OCT 2004

Docket: D-3173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application:
Kelly et al.

/
/ Mail Stop New Patent Application
/

Filed: Herewith

/
/
/
/
/
/

For: ALGINATE OR LOW-METHOXY
PECTATE GEL

EXPRESS MAIL MAILING LABEL NO.

EV464416320US

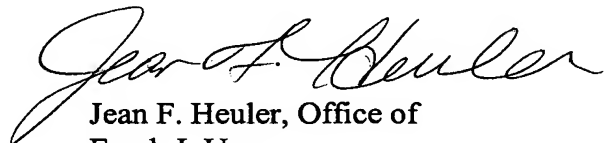
Date of Deposit: **October 14, 2004**

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop New Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450:

1. Transmittal letter;
2. Copy of Published Application;
3. International Search Report;
4. Application Data Sheet;
5. Check no. 4600 for \$950.00;
6. Return Receipt postcard.

Each of the 6 above-identified documents are enclosed herewith.

Respectfully submitted,



Jean F. Heuler, Office of
Frank J. Uxa
Attorney for Applicant
Registration No. 25,612
4 Venture, Suite 300
Irvine, CA 92618

Telephone 949/ 450-1750
Facsimile 949/ 450-1764